IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
	Steve Klotz et al.)
Serial No.:	10/661,200) Art Uni) 2113
Filed:	September 12, 2003)
For:	NETWORK ANALYSIS TOOL)
Confirmation No.:	7200)
Customer No.:	022913)
Examiner:	Michael C. Maskulinski)

TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING REJECTION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Assignee, through its attorney of record, represents that it is the owner of the entire interest in the above-identified patent application. Particularly, the above-identified application, as well as United States Patent Application Serial No. 10/661,705 (the "'705 Application"), and United States Patent Application Serial No. 10/661,907 (the "'907 Application") are owned by the Assignee by virtue of the assignments recorded in the United States Patent and Trademark Office at, respectively, Reel 014502, Frame 0884, Reel 014502, Frame 0055, and Reel 014503, Frame 0084 (copies of which are attached hereto as Exhibits A, B and C).

In light of the foregoing, Assignee hereby disclaims, except as provided below, the terminal part of any patent granted on the above-identified application that would extend beyond the expiration date of the full statutory term of any patent issuing from, as applicable, the '705 Application and/or the '907 Application, as presently shortened by any terminal disclaimer. Assignee agrees that any patent so granted on the above-identified application shall be enforceable only for and during such period that the legal title to it and, as applicable, the '705 Application

Application No. 10/661,200 Docket No. 15436.252.1.1 Reply to Office Action mailed October 16, 2006

and/or the '907 Application (and any patent issuing therefrom) are commonly owned. The foregoing agreement by the Assignee runs with any patent granted on the above-identified application and is binding upon the grantor, its successors or assigns.

Assignee does not, however, disclaim any terminal part of any patent granted on the above-identified application prior to the expiration date of the full statutory term of any patent issuing from the '705 Application and/or the '907 Application in the event that such patent issuing from the '705 Application and/or the '907 Application later: expires for failure to pay a maintenance fee; is held unenforceable; is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR § 1.321(a); has all claims canceled by a reexamination certificate; or, is reissued or is otherwise terminated prior to expiration of its statutory term as presently shortened by any terminal disclaimer, except for the separation of legal title stated above.

Assignee hereby declares that all statements made herein of its own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated this 16th day of February, 2007.

Respectfully submitted, /Peter F. Malen, Jr./

Peter F. Malen Jr. Attorney for Applicants Registration No. 45,576 **Customer No. 022913** Telephone No. (801) 533-9800

W:\15436\252.1.1\GPM0000003235V001.doc

EXHIBIT A

to

TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING REJECTION



NHV.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 15, 2004

PTAS

MOSER, PATTERSON & SHERIDAN N. ALEXANDER NOLTE 3040 POST OAK BLVD, SUITE 1500 HOUSTON, TX 77056

Action DOCKETED

Response Due By DH On 5/18/04

Atty Mas File #_15436, 252, 1.

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/12/2003

REEL/FRAME: 014502/0884

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KLOTZ, STEVE

DOC DATE: 09/03/2003

ASSIGNOR:

MANN, JASON DAVID

DOC DATE: 09/04/2003

ASSIGNOR:

MCDANIEL, TIMOTHY LANE

DOC DATE: 09/04/2003

ASSIGNEE:

FINISAR CORPORATION 1308 MOFFETT PARK DRIVE SUNNYVALE, CALIFORNIA 94089

SERIAL NUMBER: 10661200

200 FILING DATE: 09/12/2003 ISSUE DATE:

PATENT NUMBER:

The Same

014502/0884 PAGE 2

SHARON BROOKS, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



FORM PTO-1595	102556305	ÆET	U.S. Department of Commerce Patent And Trademark Office
(Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)	PATENTS	ONLY	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.			
/ 1. Name of conveying party(ies):	Date Control of the C	Name and address of receiving party(ies): Name: Finisar Corporation	S. PTC
JASON DAVID MANN 0	9/03/2003 9/04/2003 9/04/2003	Internal Address: 1308 Moffett F	Park Drive 901 00
Additional name(s) of conveying party(ies)	attached? Yes X No	Street Address:	0 =
3. Nature of conveyance:			
X Assignment	Merger	City: Sunnyvale State: CA	Zip: 94089
	Change of Name	Additional name(s) & address(es) attached?	
Other		Additional hame(s) & address(es) attached:	103110
Execution Date: SEE ABO	OVE	10/66/200	
4. Application number(s) or patent numbe If this document is being filed together to A. Patent Application No.(s) B. Patent No.(s) Additional numbers attached	with a new application, the executi	on date of the application is:	(Date of Filing)
Name and address of party to whom co document should be mailed:	orrespondence concerning	6. Total number of applications and patents in	nvolved:1
Name: Patent Cou	unsel	7. Total fee (37 C.F.R. 3.41)	\$ <u>40.00</u>
Internal Address: Moser, Pat	tterson & Sheridan	Enclosed	
		X Authorized to be charged to deposit ac	count
		20-0782/FNSR/1001	
Street Address: 3040 Post	Oak Blvd, Suite 1500	8. Deposit account number:	
City Hayatan States 7	TX Zip: <u>77056</u>	20-0782/FNSR/1001	
City: <u>Houston</u> State:	<u>1Λ 2ιμ. 11030</u>		
	DO NOT USE	THIS SPACE	
9. Statement and signature. To the best of my knowledge and belie N. Alexander Nolte Reg. No: 45,689 Name of Person Signing	of, the foregoing information is true Signature	and correct and any attached copy is a true co	py of the original document. D3 Date

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Mail Stop Assignments
P.O. Box 1450 Alexandria, VA 22313-1450.
221208_1

Total number of pages including cover sheet, attachments and document: 3

ASSIGNMENT FOR APPLICATION FOR PATENT

WHEREAS:

Names and Addresses of Inventors:

1)	STEVE KLOTZ	2)	JASON DAVID MANN 4112 Avenue B
	11005 Callanish Austin, TX 78750		Austin, TX 78751
3)	TIMOTHY LANE MCDANIEL 3401 Parmer, Apt. 825 Austin, TX 78727		

(hereinafter referred to as Assignors), have invented a certain invention entitled:

NETWORK ANALYSIS TOOL

enclosed herewith or for which application for Letters Patent in the United States was filed on HEREWITH , under Serial No. UNKNOWN , executed on even date herewith; and

WHEREAS, Finisar Corporation, a corporation of the State of Delaware, having a place of business at 1308 Moffett Park Drive, Sunnyvale, CA 94089-1133 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as Application), and the invention disclosed therein (hereinafter referred to as Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

- 1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.
- 2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filling and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filling and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefore and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

- 3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.
- 4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

1) <u>9/3/03</u> (DATE)

2) <u>94/63</u> (DATE)

 $\frac{9/4/63}{\text{(DATE)}}$

IASON DAVIDARANA

TIMOTHY LANE MCDANIEL

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EXHIBIT B

to

TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING REJECTION



JHV.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 14, 2004

PTAS

102556101A

MOSER, PATTERSON & SHERIDAN
N. ALEXANDER NOLTE
3040 POST OAK BLVD., SUITE 1500
HOUSTON, TX 77056

Action DOCKETED

Response Due

By DH On 5/13/04

Atty MGS File # 15/14

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/12/2003

REEL/FRAME: 014502/0055

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KLOTZ, STEVE

DOC DATE: 09/03/2003

ASSIGNOR:

MANN, JASON DAVID

DOC DATE: 09/04/2003

ASSIGNOR:

MCDANIEL, TIMOTHY LANE

DOC DATE: 09/04/2003

ASSIGNEE:

FINISAR CORPORATION 1308 MOFFETT PARK DRIVE SUNNYVALE, CALIFORNIA 94089

SERIAL NUMBER: 10661705

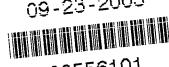
PATENT NUMBER:

FILING DATE: 09/12/2003

ISSUE DATE:

914502/0055 PAGE 2

LAZENA MARTIN, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS 09-23-2003



FORM PTO-1595 (Rev. 03/01)

102556101

U.S. Department of Commerce Patent And Trademark Office

DMB No. 0651-0027 (exp. 5/31/2002) PATENTS ONLY				
To the Honorable Commissioner of Patents and Trademarks:	Please record the attached original document or copy thereof.			
Name of conveying party(ies): <u>Date</u>	Name and address of receiving party(ies): Name:Finisar Corporation			
STEVE KLOTZ 09/03/2003 JASON DAVID MANN 09/04/2003 TIMOTHY LANE MCDANIEL 09/04/2003	Internal Address: 1308 Moffett Park Drive			
Additional name(s) of conveying party(ies) attached?Yes _X No	Street Address:			
X AssignmentMerger				
Security Agreement Change of Name	City: Sunnyvale State: CA Zip: 94089			
Other	Additional name(s) & address(es) attached?Yes _X_ No			
Execution Date: SEE ABOVE				
 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execute A. Patent Application No.(s) B. Patent No.(s) Additional numbers attached?Yes _X_ No 	ion date of the application is:(Date of Filing)			
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:1			
Name: Patent Counsel	7. Total fee (37 C.F.R. 3.41)\$\$			
Internal Address: Moser, Patterson & Sheridan	Enclosed			
	X Authorized to be charged to deposit account 20-0782/FNSR/1001.06			
Street Address: 3040 Post Oak Blvd, Suite 1500	8. Deposit account number:			
City: Houston State: TX Zip: 77056	20-0782/FNSR/1001.06			
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true	and correct and any attached copy is a true copy of the original document.			
Name of Person Signing Name of Person Signing Name of Person Signing Name of Person Signing				
Total number of pages including cover sheet, attachments and document: 3				

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Mail Stop Assignments P.O. Box 1450 Alexandria, VA 22313-1450.

ASSIGNMENT FOR APPLICATION FOR PATENT

WHEREAS:

Names and Addresses of Inventors:

1)	STEVE KLOTZ 11005 Callanish Austin, TX 78750	2)	JASON DAVID MANN 4112 Avenue B Austin, TX 78751
3)	TIMOTHY LANE MCDANIEL 3401 Parmer, Apt. 825 Austin, TX 78727		

(hereinafter referred to as Assignors), have invented a certain invention entitled:

NETWORK EXPERT ANALYSIS PROCESS

enclosed herewith or for which application for Letters Patent in the United States was filed on HEREWITH, under Serial No. UNKNOWN, executed on even date herewith; and

WHEREAS, Finisar Corporation, a corporation of the State of Delaware, having a place of business at 1308 Moffett Park Drive, Sunnyvale, CA 94089-1133 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as Application), and the invention disclosed therein (hereinafter referred to as Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

- 1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.
- 2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filling and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filling and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefore and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

- 3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.
- 4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

1) <u>4/3/3</u> (DATE)

STEVENI

2) 9/4/63 (DATE)

JASON BAVID M 9/4/03 (DATE)

TIMOTHY LANE MCDANIEL

C:\NrPortb\iManage\CLUNN\127962_1.DOC

3)

EXHIBIT C

to

TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING REJECTION



NAN.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 15, 2004

PTAS

MOSER, PATTERSON & SHERIDAN PATENT COUNSEL 3040 POST OAK BLVD., SUITE 1500 HOUSTON, TX 77056



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RECORDATION DATE: 09/12/2003

REEL/FRAME: 014503/0084

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KLOTZ, STEVE

DOC DATE: 09/03/2003

ASSIGNOR:

MANN, JASON DAVID

DOC DATE: 09/04/2003

ASSIGNOR:

MCDANIEL, TIMOTHY LANE

DOC DATE: 09/04/2003

ASSIGNEE:

FINISAR CORPORATION 1308 MOFFETT PARK DRIVE SUNNYVALE, CALIFORNIA 94089

SERIAL NUMBER: 10661907

PATENT NUMBER:

FILING DATE:

9/12/2003 30

Moser, pattersin & sheptan, 11P

014503/0084 PAGE 2

THERESA FREDERICK, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



U.S. Department of Commerce

(Rev. 03/01)	10500000	Patent And Trademark Office
OMB No. 0651-0027 (exp. 5/31/2002)	PATENTS ONLY	
To the Hanarable Commissioner of Patents an	nd Trademarks: Please record the attached origina	at document or copy thereof.
Name of conveying party(ies):	Name and address of received.	ing party/jes):
<u>Date</u>	Name: Finisar Co	ornoration
STEVE KLOTZ 09/03/2003	MarieTimsar oc	poration
JASON DAVID MANN 09/04/2003	Internal Address:	
TIMOTHY LANE MCDANIEL 09/04/2003		
Additional name(s) of conveying party(ies) attached?Yes		a =
3. Nature of conveyance:		
X Assignment Merger		
		State: <u>CA</u> Zip: <u>94089</u>
Security Agreement Change of Nam	· · · · · · · · · · · · · · · · · · ·	
Other	Additional name(s) & address(e	es) attached?Yes _X_No
Execution Date: SEE ABOVE	10/66/90	7
Application number(s) or patent number(s):		
If this document is being filed together with a new applica-	ation, the execution date of the application is:	(Date of Filing)
•		
A. Patent Application No.(s)		
B. Patent No.(s)	•	
A LUC L		•
Additional numbers attached? Yes <u>X</u> No		
Name and address of party to whom correspondence co document should be mailed:	ncerning 6. Total number of applications	s and patents involved:1
Name: Patent Counsel	7. Total fee (37 C.F.R. 3.41)	\$ 40.00
· -	·	<u> </u>
Internal Address: Moser, Patterson & Sherid	an Enclosed	
	X Authorized to be charged	d to deposit account
	<u> </u>	·
	20-0782/FNSR/1	001.03
Street Address: 3040 Post Oak Blvd, Suite	1500 8. Deposit account number:	
	20-0782/FNSR/1001	_03
City: <u>Houston</u> State: <u>TX</u> Zip: _	77056	
	1	
	TING GF	
	DO NOT USE THIS SPACE	
9. Statement and signature. To the best of my knowledge and belief, the foregoing in the state of the best of my knowledge and belief, the foregoing in the best of my knowledge and belief, the foregoing in the best of th	normation is true and correct and any attached co Signature	py is a true copy of the original document. Date
		2
Total number of pages in	cluding cover sheet, attachments and document:	<u>.3</u>

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Mail Stop Assignments

P.O. Box 1450 Alexandria, VA 22313-1450.

221291_1

ASSIGNMENT FOR APPLICATION FOR PATENT

WHEREAS:

Names and Addresses of Inventors:

1)	STEVE KLOTZ 11005 Callanish Austin, TX 78750	2)	JASON DAVID MANN 4112 Avenue B Austin, TX 78751
3)	TIMOTHY LANE MCDANIEL 3401 Parmer, Apt. 825 Austin, TX 78727		

(hereinafter referred to as Assignors), have invented a certain invention entitled:

NETWORK ANALYSIS SCALABLE ANALYSIS TOOL FOR MULTIPLE PROTOCOLS

enclosed herewith or for which application for Letters Patent in the United States was filed on HEREWITH, under Serial No. UNKNOWN, executed on even date herewith; and

WHEREAS, Finisar Corporation, a corporation of the State of Delaware, having a place of business at 1308 Moffett Park Drive, Sunnyvale, CA 94089-1133 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as Application), and the invention disclosed therein (hereinafter referred to as Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

- 1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.
- Said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filling and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filling and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefore and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

- 3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.
- 4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

1) $\frac{9/3}{3}$ (DATE)

 $\frac{9990^2}{2}$ (DATE)

3) $\frac{9/4/\sqrt{3}}{\sqrt{3}}$ (DATE)

STEVENDOIZ (

JASON DAVID MAN

C:\NrPortb\\iManage\\CLUNN\127962_1.DOC